Attorney Docket No.

11885-00032-US

| UTILITY | First Inventor G | | Gerhard Reidlinger et al. | | |
|---|--|--|---------------------------|---------------------------|--|
| PATENT APPLICATION | | | | | |
| TRANSMITTAL | Title | WATER-DILUTABLE POLYESTER RESINS | | STER RESINS | |
| (Only for new nonprovisional applications under 37 CFR 1.53(b)) | | 1 | | | |
| | Expres | ss Mail Label No. | ER 375179337 | / US | |
| ADDI ICATION EL EMENTO | | | MS Patent App | | |
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application con | ADDRESS TO: Commissioner for Patents P.O. Box 1450 | | | | |
| | Alexandria, VA 22313-1450 | | | | |
| Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) | 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) | | | | |
| Applicant claims small entity status. | 8 Nucleotide and/or Amino Acid Sequence Submission | | | | |
| See 37 CFR 1.27. | (if applicable, all necessary) | | | | |
| 3. X Specification [Total Pages 16] a. Computer Readable Form (CRF) | | | | | |
| (preferred arrangement set forth below) b. Specification Sequence Listing on: - Descriptive title of the invention | | | | | |
| - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D CD-ROM or CD-R (2 copies); or ii. Paper | | | | | |
| Reference to sequence listing, a table, or a computer program listing appendix | c. Statements verifying identity of above copies | | | | |
| Background of the Invention Brief Summary of the Invention | ACCOMPANYING APPLICATION PARTS | | | | |
| Brief Description of the Drawings (if filed) Detailed Description | 9. X Assignment Papers (cover sheet & document(s)) | | | | |
| - Claim(s) - Abstract of the Disclosure | | 10. 37 CFR 3.73(b) Statement Power of Attorney | | | |
| 4. Drawing(s) (35 U.S.C. 113) [Total Sheets |] | 11. English Translation Document (if applicable) | | | |
| 5. Oath or Declaration [Total Sheets | 2] | 12. X Information Disclosure X Copies of IDS Statement (IDS)/PTO-1449 Citations | | | |
| a. X Newly executed (original or copy) 13. X Preliminary Amendment | | | | | |
| b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) | | 14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized) | | | |
| i DELETION OF INVENTOR(S) | 15 Certified Copy of Priority Document(s) | | | | |
| Signed statement attached deleting inventor(s) named in the prior application, | | (if foreign priority is claimed) Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). | | | |
| see 37 CFR 1.63(d)(2) and 1.33(b). | | Applicant must attach form PTO/SB/35 or its equivalent. | | | |
| l — | 17. Other: | | | | |
| 6. X Application Data Sheet. See 37 CFR 1.76 | | | | | |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the | | | | | |
| specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No.: | | | | | |
| | | | | | |
| Prior application information: Examiner Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied | | | | | |
| under Box 5b, is considered a part of the disclosure of the according reference. The incorporation can only be relied upon when a p | mpanyin | g continuation or div | isional application and | is hereby incorporated by | |
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| x Customer Number: 23416 | | OR | Corresponde | ence address below | |
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| Name | | | | | |
| Address | | | | | |
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| Country Tel | ephone | | Fax | | |
| Name (Print/Type) Richard M. Beck Registration No. (Attorney/Agent) 22,580 | | | | | |
| Signature Parlace A Date March 11, 2004 | | | | | |

PTO/SB/17 (10-03)

Approved for use through 7/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| FEE TRANSMITTAL for FY 2004 Effective 10/01/2003, Patent fees are subject to annual revision. Application Number | °aid | | | | |
|---|------------------------------|--|--|--|--|
| First Named Inventor Gerhard Reidlinger et al. Examiner Name Not Yet Assigned Applicant claims small entity status. See 37 CFR 1.27 TOTAL AMOUNT OF PAYMENT (\$) 810.00 Attorney Docket No. 11885-00032-US METHOD OF PAYMENT (check all that apply) METHOD OF PAYMENT (check all that apply) Total Account: Deposit Acco | ²aid | | | | |
| Effective 10/01/2003, Patent fees are subject to annual revision. Applicant claims small entity status. See 37 CFR 1.27 Art Unit N/A TOTAL AMOUNT OF PAYMENT (\$) 810.00 Attorney Docket No. 11885-00032-US METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) X Check Credit Card Order Other None Deposit Account: | ³aid | | | | |
| Applicant claims small entity status. See 37 CFR 1.27 TOTAL AMOUNT OF PAYMENT (\$) 810.00 METHOD OF PAYMENT (check all that apply) X Check Card Order Other None Deposit Account: Deposit Account: Number Deposit Account: Deposit Account: Connolly Bove Lodge & Hutz LLP Name The Director is authorized to: (check all that apply) Charge fee(s) indicated below X Credit any overpayments X Charge any additional fee(s) or any underpayment of fee(s) Charge fee(s) indicated below, except for the filling fee Art Unit N/A Art Unit N/A N/A 11885-00032-US FEE CALCULATION (continued) 3. ADDITIONAL FEES Fee Fee Fee Fee Fee Fee Fee Fee Fee Fe | o'aid | | | | |
| TOTAL AMOUNT OF PAYMENT (\$) 810.00 Attorney Docket No. 11885-00032-US METHOD OF PAYMENT (check all that apply) X Check Card Money Order Other None Opposit Account: Deposit Account Number O3-2775 Deposit Account Number Connolly Bove Lodge & Hutz LLP Name The Director is authorized to: (check all that apply) Charge fee(s) indicated below X Credit any overpayments Charge fee(s) indicated below, except for the filling fee Attorney Docket No. 11885-00032-US FEE CALCULATION (continued) Small Entity Small Entity Fee Fee Fee Code (\$) Fee Description Fee I Surcharge – late filing fee or oath Account Name The Director is authorized to: (check all that apply) Charge fee(s) indicated below X Credit any overpayments 1053 130 1053 130 Non-English specification X Charge any additional fee(s) or any underpayment of fee(s) Charge fee(s) indicated below, except for the filling fee | °aid | | | | |
| METHOD OF PAYMENT (check all that apply) X Check Card Money Order Other None | aid | | | | |
| X Check Card Order Other None John None Deposit Account: Deposit Account Number O3-2775 Deposit Account Number Code (\$) Fee Fee Code (\$) Fee Description Deposit Account Number Code (\$) Fee Description The Director is authorized to: (check all that apply) Charge fee(s) indicated below X Credit any overpayments X Charge any additional fee(s) or any underpayment of fee(s) Charge fee(s) indicated below, except for the filling fee 3. ADDITIONAL FEES Large Entity Small Entity Fee Fee Code (\$) Fee Description Fee I See Fee Code (\$) Surcharge – late filing fee or oath 1052 50 2052 25 Surcharge – late provisional filing fee or cover sheet. 1053 130 Non-English specification X Charge any additional fee(s) or any underpayment of fee(s) Charge fee(s) indicated below, except for the filling fee | 'aid | | | | |
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| Charge fee(s) indicated below, except for the filing fee | \neg | | | | |
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| to the above-identified deposit account. 1805 1,840° 1805 1,840° Requesting publication of SIR after | ing publication of SIR after | | | | |
| FEE CALCULATION 1251 110 2251 55 Extension for reply within first month | | | | | |
| 1. BASIC FILING FEE 1252 420 2252 210 Extension for reply within second month | | | | | |
| Large Entity Small Entity 1253 950 2253 475 Extension for reply within third month | \Box | | | | |
| Fee Fee Fee Fee Fee Description Fee Paid 1254 1,480 2254 740 Extension for reply within fourth month | \Box | | | | |
| Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee 770.00 1255 2,010 2255 1,005 Extension for reply within fifth month | \dashv | | | | |
| 1002 340 2002 170 Design filing fee 1401 330 2401 165 Notice of Appeal | ヿ | | | | |
| 1003 530 2003 265 Plant filing fee 1402 330 2402 165 Filing a brief in support of an appeal | \neg | | | | |
| 1004 770 2004 385 Reissue filing fee 1403 290 2403 145 Request for oral hearing | | | | | |
| 1005 160 2005 80 Provisional filing fee 1451 1,510 1451 1,510 Petition to institute a public use proceeding | | | | | |
| SUBTOTAL (1) (\$) 770.00 1452 110 2452 55 Petition to revive – unavoidable | on to revive – unavoidable | | | | |
| 1453 1,330 2453 665 Petition to revive - unintentional | to revive - unintentional | | | | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,330 2501 665 Utility issue fee (or reissue) | | | | | |
| Extra Fee from Claims below Fee Paid 1502 480 2502 240 Design issue fee | _ | | | | |
| Total Claims 10 -20** = x = 0.00 1503 640 2503 320 Plant issue fee | | | | | |
| Independent 1 -3** = x = 0.00 1460 130 Petitions to the Commissioner | _7 | | | | |
| Multiple Dependent = 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) | \neg | | | | |
| Large Entity Small Entity 1806 180 Submission of Information Disclosure Stmt | \neg | | | | |
| Fee | 0.00 | | | | |
| 1202 18 2202 9 Claims in excess of 20 1900 770 1900 395 Filing a submission after final rejection | ᅱ | | | | |
| 1201 86 2201 43 Independent claims in excess of 3 | \dashv | | | | |
| 1203 290 2203 145 Multiple dependent claim, if not paid 1610 770 2610 363 examined (37CFR 1.129(b)) | | | | | |
| 1204 86 2204 43 ** Reissue independent claims over original patent 1801 770 2801 385 Request for Continued Examination (RCE) | ᅴ | | | | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 1802 900 1802 900 of a design application | | | | | |
| - Cutation (cpccar) | Other fee (specify) | | | | |
| SUBTOTAL (2) (5) 0.00 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (5) 4 **or number previously paid, if greater; For Reissues, see above | 0.00 | | | | |
| SUBMITTED BY (Complete (if applicable)) | | | | | |
| Name (Print/Type) Richard M. Beck Registration No. (Attorney/Agent) 22,580 Telephone (302) 658-9141 | | | | | |
| Signature Richard Mr. Ber Date March 11, 2004 | | | | | |

Application No. (if known):

Attorney Docket No.: 11885-00032-US

Certificate of Express Mailing Under 37 CFR 1.10

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on <u>March 11, 2004</u> Date

Signature

Amy L. Hamm
Typed or printed name of person signing Certificate

Note:

Each paper must have its own certificate of mailing, or this certificate must identify

each submitted paper.

Application Data Sheet Utility Transmittal Sheet Fee Transmittal Sheet Specification/Claims/Abstract Declaration/Power of Attorney

Assignment Coversheet & Assignment

Priority Document Preliminary Amendment

Information Disclosure Statement/PTO SB-08/References

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